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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/099,880	03/14/2002	Marc E. Weksler	2650/1H399US1	5400

7590 02/17/2004

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805 Third Avenue  
New York, NY 10022

EXAMINER
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CHERNYSHEV, OLGA N

ART UNIT	PAPER NUMBER
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1646

DATE MAILED: 02/17/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/099,880	<b>Applicant(s)</b> WEKSLER ET AL.	
	<b>Examiner</b> Olga N. Chernyshev	<b>Art Unit</b> 1646	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 19 December 2003.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,5-10,14-18 and 27 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,5-10,14-18 and 27 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>12/19/03</u> . | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Amendment***

1. Claims 1, 8-10, 14, 16-18 and 27 have been amended and claims 2-4, 11-13, 19-26 and 28-30 have been cancelled as requested in the amendment of Paper filed on December 19, 2003. Claims 1, 5-10, 14-18 and 27 are pending in the instant application.

Claims 1, 5-10, 14-18 and 27 are under examination in the instant office action.

2. The Text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

3. Any objection or rejection of record, which is not expressly repeated in this action has been overcome by Applicant's response and withdrawn.

4. Applicant's arguments filed on December 19, 2003 have been fully considered but they are not deemed to be persuasive for the reasons set forth below.

### ***Claim Rejections - 35 USC § 112***

5. Claims 1, 5-10, 14-18 and 27 stand rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for a method for assessing risk of a subject of having an Alzheimer's disease by comparing a level of anti-A $\beta$ <sub>42</sub> antibody in a blood, serum or plasma sample from a subject to a normal level, which is determined from an average of the level of anti-A $\beta$ <sub>42</sub> antibody in a blood, serum or plasma sample from a population of age-matched normal subjects who do not show any symptoms of Alzheimer's disease, wherein a lower level indicates the risk of having an Alzheimer's disease, does not reasonably provide enablement for a method for assessing risk of a neurological disease by comparing a level of anti-A $\beta$ <sub>42</sub> antibody in a

Art Unit: 1646

sample of cerebral spinal fluid, wherein a lower level indicates the risk of a neurodegenerative disease or disorder associated with amyloidosis or presence of a neurological disease. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to use the invention commensurate in scope with these claims for those reasons of record in section 5 of Paper No. 7 and reasons that follow.

On page 3, line 11 of the instant specification, the biological sample to be used in the claimed method is identified as “blood, serum, or plasma”. Further, the Examples presented on pages 17 through 24 all describe the analysis of serum samples of patients with AD and normal subjects. Therefore, because the instant specification fails to provide any evidence or sound scientific reasoning that the data obtained from serum (blood) samples can be predictive of results using cerebral spinal fluid samples, one skilled in the art would not be able to use any other biological samples without undue experimentation. Furthermore, there is no information found in prior art that would establish a clear relationship between concentration of anti-A $\beta$ <sub>42</sub> antibody in blood samples and concentration of anti-A $\beta$ <sub>42</sub> antibody in cerebral spinal fluid samples, such relationship would indicate strong correlation between the same level of increase or decrease of values of anti-A $\beta$ <sub>42</sub> antibody concentration. Consequently, a skilled practitioner would not be able to assess risk of a neurodegenerative disease by practicing the instant method, as currently claimed, without first making a substantial inventive contribution.

Claim 7, as originally presented, stands rejected under 35 U.S.C. 112, first paragraph, because the instant specification does not provide any information regarding practicing the claimed method for diagnosis of any neurodegenerative disease or disorder, see reasoning in section 5 of Paper No. 7.

*New grounds of rejection necessitated by amendment*

*Claim Rejections - 35 USC § 112*

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 1, 5-10 and 14-18 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
7. Claims 1, 10 and 16 are vague and indefinite for recitation “a normal level determined from the level of anti-A $\beta$ <sub>42</sub> antibody in a biological sample”. The metes and bounds of “the level of anti-A $\beta$ <sub>42</sub> antibody” cannot be determined from the claims or the instant specification. Applicant is advised that using recitation “a normal level determined from an average of the level of anti-A $\beta$ <sub>42</sub> antibody in a biological sample”, such as recited in claim 27, for example, would obviate this ground of rejection.

Claims 5-9, 14-15 and 17-18 are indefinite for being dependent from indefinite claims.

*Conclusion*

8. No claim is allowed.
9. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO**

Art Unit: 1646

MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Olga N. Chernyshev whose telephone number is (571) 272-0870. The examiner can normally be reached on Monday to Friday 9 AM to 5 PM ET.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yvonne Eyler can be reached on (571) 272-0871. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Certain papers related to this application may be submitted to Technology Center 1600 by facsimile transmission. Papers should be faxed to Technology Center 1600 via the PTO Fax center located in Crystal Mall 1 (CM1). The faxing of such papers must conform with the notices published in the Official Gazette, 1156 OG 61 (November 16, 1993) and 1157 OG 94 (December 28, 1993) (see 37 C.F.R. § 1.6(d)). NOTE: If Applicant *does* submit a paper by fax, the original signed copy should be retained by Applicant or Applicant's representative. NO DUPLICATE COPIES SHOULD BE SUBMITTED so as to avoid the processing of duplicate papers.

Official papers filed by fax should be directed to (703) 872-9306. If this number is out of service, please call the Group receptionist for an alternative number. Faxed draft or informal

Art Unit: 1646

communications with the examiner should be directed to (571) 273-0870. Official papers should NOT be faxed to (571) 273-0870.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Olga N. Chernyshev, Ph.D.

OC



JOHN ULM  
PRIMARY EXAMINER  
GROUP 1800